

17157 U.S. PTO  
121203

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on **December 12, 2003** in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL984605895US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: *Ann Nelson*  
Ann Nelson

Attorney Docket No: LAM2P461

First Named Inventor: Fred C. Redeker

22387 U.S. PTO  
10/734704  
121203

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
**Mail Stop: Patent Applications**  
Alexandria, VA 22313-1450

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Fred C. Redeker, John Boyd, Yezdi Dordi, William Thie and Bob Maraschin

For: **METHOD AND APPARATUS FOR SEMICONDUCTOR WAFER PLANARIZATION**

**Application Elements:**

- ☒ 27 Pages of Specification, Claims and Abstract
- ☒ 4 Sheets of Drawings (Informal)
- ☒ 3 Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
  - ☐ Copies of IDS Citations
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)         | (Col. 2)         | <u>SMALL ENTITY</u> |            | <u>OR</u> | <u>LARGE ENTITY</u> |              |
|---|------------------|------------------|---------------------|------------|-----------|---------------------|--------------|
|   | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u>         | <u>FEE</u> |           | <u>RATE</u>         | <u>FEE</u>   |
| BASIC FEE   |                  |                  | \$385               | \$         | OR        | \$770               | \$770        |
| TOTAL CLAIMS  | <u>20</u>        | -20 = <u>0</u>   | x9=                 | \$         | OR        | x18 =               | \$           |
| INDEP CLAIMS  | <u>3</u>         | -3 = <u>0</u>    | x43=                | \$         | OR        | x86 =               | \$           |
| [ ] Multiple Dependent Claim Presented                                |                  |                  | \$145=              | \$         | OR        | \$290 =             | \$           |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                  |                  | Total               | \$         | OR        | Total               | <u>\$770</u> |

☒ Check No. 10084 in the amount of \$810 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P461).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

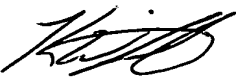
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P461).

☒ Please send correspondence to the following address:

**Kenneth D. Wright**  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
**Customer Number 25920**  
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Date: December 12, 2003


  
\_\_\_\_\_  
Kenneth D. Wright  
Reg. No. 53,795

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                  |   |                         |
|----------------------------------|---|-------------------------|
| In re the application of         | ) |                         |
|                                  | ) | Examiner: Unassigned    |
| REDEKER et al.                   | ) |                         |
|                                  | ) | Art Unit: Unassigned    |
| Application No. [To be assigned] | ) |                         |
|                                  | ) | Docket No. LAM2P461     |
| Filed: December 12, 2003         | ) |                         |
|                                  | ) | Date: December 12, 2003 |
| For: METHOD AND APPARATUS FOR    | ) |                         |
| SEMICONDUCTOR WAFER              | ) |                         |
| PLANARIZATION                    | ) |                         |
|                                  | ) |                         |
|                                  | ) |                         |
|                                  | ) |                         |

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Signed:   
Ann Nelson

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP



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